

Appendix 1 - Risk Framework & assessment of score

Assessment of Impact

|   |                   | Service/Operational  | Assets                                     | Legal Obligations  | Project  | Duty of Care – Clients & Staff  |
|---|-------------------|--|--|--|--|---|
| 1 | <b>Negligible</b> | Minimal disruption not impacting on an important service which can be resolved | Capital loss potential up to 1% of assets  | Litigation, claims or fines<br>Services up to £10k<br>Corporate £25k   | Minimal impact on APF<br>delay < 1 month                 | Minimal or no impact on Services Duty of Care requirements.   |
| 2 | <b>Low</b>        | Brief disruption of important service /service area                            | Capital loss potential up to 5% of assets  | Litigation, claims or fines<br>Services up to £25k<br>Corporate £50k   | Some impact on APF<br>delay < 3 months                   | Consideration required re. Duty of Care unlikely to have adverse impact meeting overall requirements. |
| 3 | <b>Medium</b>     | Major effect to an important service area                                      | Capital loss potential up to 15% of assets | Litigation, claims or finesx<br>Services up to £50k<br>Corporate £100k | Adverse impact on APF<br>significant slippage > 3 months | Duty of Care issues may have impact meeting requirements.   |
| 4 | <b>High</b>       | Complete loss of an important service area                                     | Capital loss potential up to 25% of assets | Litigation, claims or fines<br>Services up to £125k<br>Corporate £250k | Significant impact on APF<br>major delay of 6+ months    | Significant impact on meeting Duty of Care responsibilities.  |
| 5 | <b>Critical</b>   | Major loss of whole service  | Capital loss potential > 25% of assets     | Litigation, claims or fines<br>Services up to £250k<br>Corporate £500k | Complete failure of project<br>extreme delay > 12 months | Not meeting legal responsibilities placing individuals at risk.                                       |

Assessment of Likelihood

|   |                |                       |
|---|----------------|-----------------------|
| 1 | Rare           | 0 – 5% probability    |
| 2 | Unlikely       | 6 – 20% probability   |
| 3 | Possible       | 21 – 50% probability  |
| 4 | Likely         | 51 – 80% probability  |
| 5 | Almost Certain | 81 – 100% probability |

Overall Score

|   |            | 1    | 2        | 3        | 4      | 5              |
|---|------------|------|----------|----------|--------|----------------|
|   |            | Rare | Unlikely | Possible | Likely | Almost Certain |
| 5 | Critical   | 5    | 10       | 15       | 20     | 25             |
| 4 | High       | 4    | 8        | 12       | 16     | 20             |
| 3 | Medium     | 3    | 6        | 9        | 12     | 15             |
| 2 | Low        | 2    | 4        | 6        | 8      | 10             |
| 1 | Negligible | 1    | 2        | 3        | 4      | 5              |